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Attorney Docket: A1-41US1

Sector \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Richard A. Mathies et al  
Serial No: 09/927,431  
Filed: August 9, 2001  
For: Miniaturized Integrated Nucleic Acid Processing  
and Analysis Device and Method

Examiner: <not yet assigned>  
Art Unit: 1744

BOX MISSING PARTS

COPY OF PAPERS  
ORIGINALLY FILED

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

TRANSMITTAL LETTER

In the response to the Notice to File Missing Parts dated September 18, 2001,  
Applicants transmit the following:

1. Response to Notice to File Missing Parts;
2. Executed Combined Declaration and Power of Attorney;
3. Petition for Extension of Two-Months of Time;
4. Formal Drawings; and
5. Return Postcard

**Please apply all PTO fees or credits to Deposit Account No. 01-0431.**  
(A duplicate of this page is enclosed)

Respectfully submitted,

Ivan D. Zitkovsky, Reg. No. 37,482  
6 Freeman Circle  
Lexington, MA 02421-7713

Tel. +781-943-4012 (or 274-8064)  
Fax +781-274-8065

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being  
placed in the United States mail with first-class postage  
attached, addressed to Commissioner for Patents, Washington,  
D.C. 20231, on

January 17, 2002

Ivan D. Zitkovsky



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WASHINGTON, D.C. 20231

**TRANSMITTAL OF FORMAL DRAWINGS**

Applicants herewith submit 18 sheets of formal drawings.

Please apply any charges or credits to Deposit Account No. 01-0431.

Respectfully submitted,

Ivan D. Zitkovsky, Reg. No. 37,482  
6 Freeman Circle  
Lexington, MA 02421-7713

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Fax: +781-274-8065

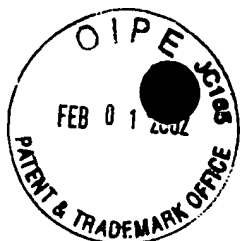
**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(A)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on

January 17, 2002

  
Ivan D. Zitkovsky

09/927,431-020102



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WASHINGTON, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

In response to the Notice to File Missing Parts dated September 18, 2001 (copy enclosed), Applicants submit herewith the following:

- Combined Declaration and Power of Attorney executed by all inventors

**Please apply the PTO filing fee of \$870.00 (\$740 + \$130) and any other fees or credits to Deposit Account No. 01-0431.**

Respectfully submitted,

Ivan D. Zitkovsky, Reg. No. 37,482  
6 Freeman Circle  
Lexington, MA 02421-7713

Tel. +781-943-4012 (or 274-8064)  
Fax +781-274-8065

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The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on

January 17, 2002

Ivan D. Zitkovsky

*[Signature]*

**SECRET**

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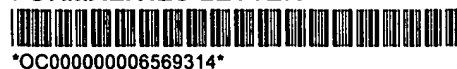
## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/927,431	08/09/2001	Richard A. Mathies	1098.A1 (A1-41US1)

CONFIRMATION NO. 7455

## FORMALITIES LETTER



\*OC00000006569314\*

 Ivan D. Zitkovsky, Ph.D.  
 Attorney at Law  
 6 Freeman Circle  
 Lexington, MA 02421-7713

Date Mailed: 09/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

 02/06/2002 BAERAHAI 00000043 010431 03527451  
 09/927,431

 01 FD:101 740.00 CH  
 02 FD:105 130.00 CH

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*